

A DTA STUDY OF PHENOLS

II. Methyl-, methoxy- and methyl, halo-phenols

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A comprehensive DTA study is reported of eight methyl-phenols, 4-methoxy-phenol and four methyl-halo-phenols and of the corresponding *p*-nitrobenzoylchloride, 3,5-dinitrobenzoylchloride and *p*-phenylazobenzoylchloride derivatives, prepared 'in situ' by heating intimate mixtures of phenol and acid chloride in a DTA system. The thermal analysis data, in particular, the derivative formation temperatures, are interpreted in terms of the inductive, mesomeric and steric effects associated with the ring substituents of the phenol and acid chloride and the extent of inter- and intramolecular hydrogen-bonding existing in these systems. The DTA data collectively, provide a comprehensive data base for the identification and characterisation of these phenols via DTA.

A DSC study by Crandall and Pennington [1] of phenol, resorcinol and eugenol and of the corresponding *p*-nitrophenylbenzoate derivatives, suggested that phenols in the solid state can be characterised via 'in-situ' derivative formation in a thermal analysis system. This postulate was extensively tested and reported as Part 1 of a detailed DTA study [2] of phenols - a series of halo-phenols were characterised via a DTA study of the individual phenols together with the 'in-situ' formation of the corresponding *p*-nitrobenzoylchloride (*p*-NBC), 3,5-dinitrobenzoylchloride (3,5-dNBC) and *p*-phenylazobenzoylchloride (*p*-PABC) derivatives.

The second part of this comprehensive study is reported here as a DTA study of eight methyl-phenols, 4-methoxy-phenol and four methyl-halo-phenols and of the corresponding *p*-NBC, 3,5-dNBC and *p*-PABC derivatives. The thermal analysis data, and in particular, the derivative formation

temperatures (T_D), are interpreted in terms of phenol acidity, steric effects associated with the phenol and acid chloride ring substituents and second order effects related to inter- and intramolecular hydrogen bonding of the phenol and the relative extent to which these cumulative factors influence the degree of phenol/acid chloride interaction.

Experimental

The source of phenols and acid chlorides, the DTA system used, the sample presentation procedures and the data analysis procedures with respect to DTA curve analysis and peak assignments, have been fully described in Part 1 [2]. The Hot Stage Microscopy system, used to confirm derivative melting points, has also been described [2].

Results and discussion

The DTA profile analysis data for eight methyl-phenols and 4-methoxy phenol and the corresponding *p*-PABC, *p*-NBC and 3,5-dNBC derivatives are recorded in Table 1. Similar data for four methyl-halo-phenols are recorded in Table 2. All transitions are endothermic except when specifically identified as exothermic (EXO). These data collectively constitute a comprehensive data bank for the subsequent characterisation of these phenols by DTA. For the quick identification of these phenols, the melting points of the various methyl-phenols and methyl-halo-phenols and of the corresponding *p*-PABC, *p*-NBC and 3,5-dNBC derivatives are summarised in Tables 3 and 4 respectively.

Interpretation of the various peaks in the DTA profiles of these phenols is generally in accordance with the sequence: *mp* of phenol, *mp* of acid chloride, derivative formation (T_D), derivative *mp* and derivative decomposition [2]. Such an interpretation was facilitated in all cases, by confirmation of derivative *mp* by Thermal Optical Analysis.

Such a sequence of events is illustrated by the DTA peak definition temperature data for the *p*-NBC derivative of 3,4-dimethyl-phenol, as given in Table 4. Depressed melting points of the phenol and acid chloride occur at 43° and 50° respectively, followed by derivative formation at 76° (T_D), derivative melting at 123° and derivative endothermic decomposition at 182°. In some cases, the individual melting points of the components are not identifiable, as for example, for the 2,4,6-trimethylphenol-*p*NBC derivative.

Table 1 Peak definition temperatures for methyl- and methoxy- phenols and the corresponding p-PABC, p-NBC and 3,5-dNBC derivatives

Phenol		Peak definition temperatures, °C			
		onset	peak	offset	
2,6-dimethyl-	parent	44	49	62	
		83	157	167	
	p-PABC	-	-	-	
		p-NBC	-	-	-
	3,5-dNBC	31	42	46	
		46	50	56	
		95	120sh		
			*161	168	
		168	179	194	
3,4-dimethyl-	parent	54	63	69	
		99	152	168	
	p-PABC	51	55sh		
			62	70	
		70	86	90	
		94	*106	120	
		130	171	211	
	p-NBC	34	43	45	
		45	50	62	
		62	76	88	
		115	*123	135	
		148	182	198	
		3,5-dNBC	40	42	45
			45	56	67
80	98		106		
170	*186		195		
209	263		289		
3,5-dimethyl-	parent	56	64	72	
		100	177	195	
	p-PABC	52	57sh		
			64	71	
		71	81	92	
		92	*109	125	
		125	163	189	
		194	284	311	
	p-NBC	36	44	46	
		46	53		
			sh66	71	
		86	113sh		
			139	159	
3,5-dNBC	50	54sh			

Table 1 Continued

Phenol	Peak definition temperatures, °C		
	onset	peak	offset
		64	74
	77	87	103
	165	*197	213
	245	260	286
2,5-dimethyl-	parent	66	75
		107	160
	p-PABC	51	61sh
		73	
		sh85	91
	91	*99	107
	107	113	122
	122	132	183
	p-NBC	20	38
		47	53
		sh76	80
	80	*91sh	
		122	141
	3,5-dNBC	43	44
		56	68
		83	98
		129	*140
		180	201
2,3-dimethyl-	parent	66	75
		107	161
	p-PABC	56	59
		65	68
		sh91	95
	117	*135	151
	151	163	197
	p-NBC	28	43
			sh56
		sh85	94
	124	*146	150
	168	EXO Drift	
	3,5-dNBC	43	44
		58	70
		94	107
		157	*170
		197	232
2,4,6-trimethyl-	parent	59	69
		99	156
	p-dNBC	54	58
			63

Table 1 Continued

Phenol	Peak definition temperatures, °C		
	onset	peak	offset
	63	76	90
	90	99	107
	119	*139	177
	192	221	234
p-NBC	44	63	80
	83	108	120
	120	*148	177
	177	EXO Drift	
3,5-dNBC	44	54	64
	64	73	81
	87	134	139
	139	*154	173
	180	194	216
2,3,5-trimethyl- parent	85	96	112
	120	180	198
p-PABC	66	72sh	
		80	
		sh92	108
	120	*138	149
	154	164	198
p-NBC	35	44	57
	57	65	80
	80	96sh	
		*145	151
	170	196	218
3,5-dNBC	41	49	58
	58	66	80
	100	115	
		*167	176
	204	234	250
3,4,5-trimethyl- parent	99	108	126
	132	197	215
p-PABC	70	77sh	
		84	
		sh86	112
	112	*149	
		165	191
	191	210	229
p-NBC	30	43	58
	58	72	
		sh74	91
	139	*164	194

Table 1 Continued

Phenol		Peak definition temperatures, °C			
		onset	peak	offset	
3,4,5-trimethyl-	3,5-dNBC	226	291	306	
		53	61	72	
		76	87	90	
		90	93	106	
		123	*145	154	
		171	213	264	
4-methoxy-	parent	50	56	75	
		115	194	212	
		p-PABC	47	58	65
			73	76sh	
				80	102
			110	*125	134
		134	149	160	
	p-NBC	41	55		
			sh61	73	
		149	*171	191	
		215	286	302	
		3,5-dNBC	36	57	71
71			84	104	
125	*146				
		164	179		

sh shoulder

* Derivative melting point (confirmed by Hot Stage Microscopy)

Additionally, in this case, the final decomposition process is not well defined.

The peak definition temperature data (Tables 1 and 2) relating to the decomposition of the parent phenols, give an indication of the relative thermal stability of these systems. For the methyl-phenols, it is apparent that as the number of methyl substituents on the phenol increases, the thermal stability of the phenol increases. For example, 3,4,5-trimethyl-phenol decomposes at 197° whereas 3,4-dimethyl-phenol decomposes at 152°. A single methoxy group enhances thermal stability, since 4-methoxy-phenol decomposes at the relatively high temperature of 194°. Thus, it appears that as a general trend, the thermal stability of a phenol is enhanced by electron donor ring substituent groups.

In the general context of the interpretation of DTA data for phenols, Salman *et al.* [3, 4] have revealed an interesting correlation between fusion and decomposition enthalpies of orthosubstituted phenols, as derived by DTA,

Table 2 Peak definition temperatures for methyl halophenols and the corresponding p-PABC, p-NBC and 3,5-dNBC derivatives

Phenol		Peak definition temperatures, °C		
		onset	peak	offset
4-chloro-2-methyl-	parent	26	45	59
		78	167	193
	p-PBC	32	45	60
		70	84	93
		107	120	
			*145	161
		198	213	226
	p-NBC	-	-	-
	3,5-dNBC	31	42	49
		49	58	69
		102	146sh	
			*162	201
	201	227	249	
4-chloro-3-methyl	parent	59	65	80
		165	208	221
	p-PABC	44	56	
			sh70	80
	EXO Drift to	87.94	120	132
		132	*158	181
		251	282	311
	p-NBC	20	45	64
		98	138	145
		145	*166	172
		172	187	210
	3,5-dNBC	31	42	49
49		58	69	
112		154sh		
		*173	191	
	201	227	249	
4-bromo-3,5-dimethyl-	parent	101	113	127
		137	201	224
	p-PABC	67	76	80
		80	90	106
		107	123	138
		138	*162	174
		214	292	304
	p-NBC	34	45	51
		51	53	66
		120	140sh	
			*177	198

Table 2 Continued

Phenol	Peak definition temperatures, °C			
	onset	peak	offset	
	198	241	265	
3,5-dNBC	46	57	66	
	81	90	98	
	135	156	164	
	164	*180	189	
	205	243	291	
4-chloro-3,5-dimethyl- parent	101	114	130	
	140	205	220	
	p-PABC	71	86sh	
			95	107
		119	121	129
		154	*167	182
		184	200	214
	p-NBC	38	48	54
		54	56	69
		111	138	
		*179	201	
	258	287	299	
3,5-dNBC	55	60	67	
	82	90	103	
	122	154	160	
	166	*186	198	
	211	261	302	

sh shoulder

* Derivative mp confirmed by Hot-stage Microscopy

with the degree of intramolecular hydrogen bonding in these systems. Since hydrogen bonding effects associated with phenols directly influence the acidity of these systems, such a quantitative correlation is of importance in the rationalisation of DTA data related to phenol derivatives.

As a preliminary to a detailed interpretation of the T_D data (Tables 1, 2) for the various phenol derivatives studied, it is relevant to briefly discuss the chemistry of these systems in terms of the intramolecular electronic effects operating [5].

The ease of derivative formation, which is essentially reflected in the magnitude of T_D , is dependent on the relative acidic and basic strengths of the acid chloride and phenoxide ion respectively. Thus, derivative formation is directly favoured by electron releasing ring substituents of the phenol and electron withdrawing ring substituents of the acid chloride. This primary in-

teraction is impeded by steric effects of ring substituents in the 2 and 6 positions of each moiety. Also, intra- and intermolecular hydrogen-bonding effects associated with the phenol and intermolecular phenol/acid chloride hydrogen-bonding impede derivative formation.

Table 3 Melting points of methyl- and methoxy- phenols and of the corresponding derivatives

Phenol	Melting point, °C			
	Parent	p-PABC	p-NBC	3,5-dNBC
2,4-dimethyl-	27	111 ^a	102 ^b	164 ^b
2,6-dimethyl-	49			161
				159 ^b
3,4-dimethyl-	62.5	106	123	186
		104 ^a		182 ^b
3,5-dimethyl-	64	109	113	197
		105 ^a	109 ^b	195 ^b
2,5-dimethyl-	74.5	99	91	140
		96 ^a	87 ^b	137 ^b
2,3-dimethyl-	75	135	146	170
2,4,6-trimethyl	69	139	148	154
2,3,5-trimethyl-	96	138	145	167
3,4,5-trimethyl-	108	149	164	145
4-methoxy-	56	125	171	146

^a From Ref. [6]

^b From Refs [7-9]

Table 4 Melting points of methyl, halo-phenols and of the corresponding derivatives

Phenol	Melting point, °C			
	Parent	p-PABC	p-NBC	3,5-dNBC
4-chloro-2-methyl-	45	145	-	162
4-chloro-3-methyl-	65	158	166	173
4-bromo-3,5-dimethyl-	113	162	177	180
4-chloro-3,5-dimethyl-	114	167	179	186

With respect to a particular methyl-phenol or to 4-methoxy-phenol, the general trend in corresponding derivative formation temperatures (T_D), (Table 1), is: p -NBC < p -PABC < 3,5-dNBC. The corresponding trend for methyl-halo-phenol derivative formation temperatures (Table 2) is p -PAB < p -NBC < 3,5-dNBC. These trends are simply a reflection primarily of the cumulative electron withdrawing ability of the acid chloride based upon the nature of the ring substituents and their associated steric effect.

With respect to the derivative formation ability of methyl-phenols, the associated methyl ring substituents primarily reduce the acidity of the phenol, and those phenols with methyl ring substituents at the 2 and 6 positions exert an additional steric effect. For example, the overall decreased acidity effect is shown by $T_D = 76^\circ$ for the 3,4-dimethyl-phenol-*p*NBC derivative and $T_D = 66^\circ$ for the 3,5-dimethylphenol-*p*NBC derivative. The effect is sufficiently refined to reveal that a methyl substituent in the 4 position is more effective in reducing phenol acidity than one in the 5 position. Further, a methoxy group is more effective in reducing phenol acidity than a methyl group, as shown by T_D of 80° and 86° for the 4-methoxy-phenol and 3,4-dimethyl-phenol-*p*PABC derivatives respectively. However, a halo ring substituent coupled with a methyl ring substituent appears also to give a net reduction in phenol acidity, which is explained in terms of the halo ring substituent preferentially promoting phenol intermolecular hydrogen bonding. This effect is revealed by the T_D data (Table 2) for 3,4-dimethylphenol and 4-chloro-3-methyl-phenol-*p*NBC derivatives ($T_D = 76^\circ$ and 120° respectively).

Table 5 pK_a data for methyl- and methoxy- phenols and T_D data for the corresponding derivatives

Phenol	a pK_a	Derivative formation temperature $T_D, ^\circ C$		
		<i>p</i> -PABC	<i>p</i> -NBC	3,5-dNBC
3,5-dimethyl-	10.20	81	66	87
4-methoxy-	10.21	80	61	84
3,4,5-trimethyl-	10.25	84	74	93
3,4-dimethyl-	10.36	86	76	98
2,5-dimethyl-	10.41	85	76	98
2,3-dimethyl-	10.54	91	85	107
2,3,5-trimethyl-	10.59	92	96	115
2,4,6-trimethyl-	10.89	99	108	134

^a From Refs [10-12]

Although the electronic and steric effects associated with the phenol and acid chloride produce a net synergistic effect, it appears that phenol acidity is the dominant effect on derivative formation and this is qualitatively revealed by the pK_a/T_D relationships shown in Figs 1 and 2. Essentially, as phenol acidity decreases, T_D increases. However, those phenols which exhibit a pronounced primary steric effect, are not in conformity with the

trend, as revealed by the pK_a/T_D data (Table 5) for 3,4,5-trimethyl-phenol and 2, 4, 6-trimethylphenol and the corresponding p NBC derivatives.

Table 6 pK_a data for methyl, halo-phenols and T_D data for the corresponding derivatives

Phenol	a pK_a	Derivative formation temperature $T_D, ^\circ\text{C}$		
		p -PABC	p -NBC	3,5-dNBC
4-chloro-3-methyl-	9.55	120	138	154
4-chloro-3,5-dimethyl-	9.70	119	133	151
4-chloro-2-methyl-	9.71	120	-	146

^a From Refs [10-12]

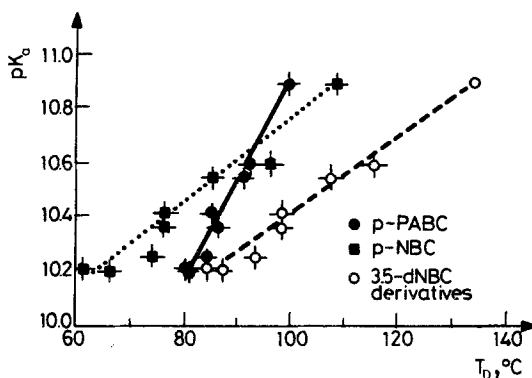


Fig. 1 pK_a/T_D relationships for methyl- and methoxy-phenol systems

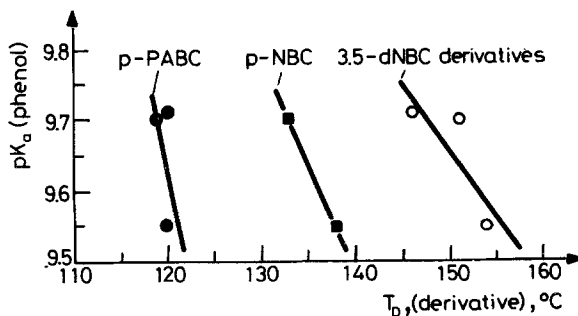


Fig. 2 pK_a/T_D relationships for methyl, halo-phenol systems

Thus, in summary, it is apparent that the cumulative peak definition temperature data (Tables 1, 2) relating to these phenols and the corresponding derivatives, can be adequately rationalised in terms of the inductive, mesomeric and steric effects inherent in these systems.

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Zusammenfassung — An durch Erhitzen inniger Mischungen aus Phenol und Säurechloriden in einem DTA-System hergestellten acht Methylphenolen, an 4-Methoxyphenol, an vier Methylhalophenolen und an den entsprechenden *p*-Nitrobenzoylchlorid-, 3,5-Dinitrobenzoylchlorid- und *p*-Phenylazobenzoylchloridderivaten wurden ausführliche DTA-Untersuchungen durchgeführt. Thermoanalytische Ergebnisse, insbesondere die Bildungstemperatur der Derivate wurden als Ausdruck des induktiven, mesomeren und sterischen Effektes bezüglich der Substituenten am Phenolring und des Säurechlorides sowie des Ausmaßes der in diesem System existierenden inter- und intramolekularen Wasserstoffbrückenbindungen gewertet. Die Gesamtheit der DTA-Daten ist gleichzeitig eine ausführliche Datenreferenz zur Identifizierung und Charakterisierung dieser Phenole mittels DTA.